



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
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VIA ECF

Honorable Ona T. Wang
United States District Court
Southern District of New York
500 Pearl Street
New York, NY 10007-1312

Re: SEC v. Robert Stewart et al., No. 15-cv-03719 (AT)(OTW)

Dear Judge Wang:

I write to provide a status report on the above-referenced case and the related criminal case, *United States v. Sean Stewart*, No. 15-cr-287 (JSR) (the “Criminal Action”).

As previously reported, on November 5, 2018, the Second Circuit issued a ruling vacating Mr. Stewart’s judgment of conviction in the Criminal Action and remanding the matter to the district court for further proceedings.

The retrial in the Criminal Action began, as scheduled, on September 9, 2019. On September 23, 2019, the jury returned a guilty verdict. Sentencing is scheduled for December 3, 2019.

Respectfully submitted,

/s/ Julia C. Green
Julia C. Green

cc: Counsel of Record (by ECF)
Sean Stewart (by U.S. Mail)
Richard Cooper, Esq. (by email)
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